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Docket No. 0756-1914

2813

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of) Art Unit: 2813
Shunpei YAMAZAKI et al.) Examiner: L. Schillinger
Serial No. 09/235,770)
Filed: January 25, 1999)
For: SEMICONDUCTOR DEVICE)
AND METHOD FOR FORMING)
THE SAME)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with
The United States Postal Service with sufficient postage as First
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Washington, D.C. 20231, on 9/25/02

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be considered by the Examiner and made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

In accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement, or (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

JP 48-095192 and Japanese Utility Model Laid Open No. 48-033964 were cited by the Japanese Patent and Trademark Office for showing a gate insulating film having a three layer structure of aluminum oxide, silicon oxide and silicon nitride.

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The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,



Eric J. Robinson
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